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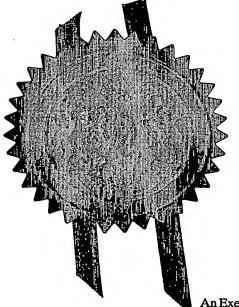
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Patents Form 1/77 Act 1977 Request for grant of a pater The Patent Office (See the notes on the back of this form Voi can also Cardiff Road explanatory leaflet from the Patent Office to help you fill in Newport this form) South Wales NP9 1RH 1. Your reference P33765GB/NCB 1030102 6732006-1 000056 <u>~v</u>!/7700 0.03-0215648.3 2. Patent application number 302 77 0215848.3 (The Patent Office will fill in this part) 3. Full name, address and postcode of the or of Anglo-European College of Chiropractic Ltd each applicant (underline all surnames) 13-15 Parkwood Road Bournemouth BH5 2DF GB Patents ADP number (if you know it) 1421232001 If the applicant is a corporate body, give the GB country/state of its incorporation Title of the invention An Objective Imaging Method for Quantifying the Relative Motion of Skeletal Segments 5. Name of your agent (if you have one) Kilburn & Strode 20 Red Lion Street "Address for service" in the United Kingdom London to which all correspondence should be sent WC1R 4PJ (including the postcode) Patents ADP number (if you know it) 125001 6. If you are declaring priority from one or more Country Priority application number Date of filing earlier patent applications, give the country (if you know it) (day / month / year) and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number 7. If this application is divided or otherwise Number of earlier application Date of filing derived from an earlier UK application, (day / month / year) give the number and the filing date of the earlier application

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' If:

a) any applicant named in part 3 is not an inventor, or

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c) any named applicant is a corporate body. See note (d)) YES

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Continuation sheets of this form

Description

12

Claim (s)

Abstract

Drawing (s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

 Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

> Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature KMOWW Soro Le

Date

Kilburn & Strode

9 July 2002

12. Name and daytime telephone number of person to contact in the United Kingdom Mr Nick C Bassil Tel: 020 7539 4200

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AN OBJECTIVE IMAGING METHOD FOR QUANTIFYING THE RELATIVE

MOTION OF SKELETAL SEGMENTS

The present invention relates to an automated system for monitoring the movement of bones in the skeleton of a subject, with particular reference to the bones in patients after surgery.

The skeleton is the support system of land animals and its joints are key to its structural integrity in everyday life. Examining this integrity for the purpose of understanding malfunction in the living organism, without penetrating the surface, has hitherto been an insurmountable problem, preventing accurate diagnosis and informed treatment. This has meant that the functional integrity of joints, especially the spinal joints, could not be assessed in living subjects without resorting to invasive procedures. Spinal fusions, often a last resort for intractable back pain, could not be inspected for their success without revision surgery, and suspected disruption of ligaments could not be objectively assessed.

Attempts to overcome this difficulty by placing measuring devices on the surface of body segments, and recording their displacements during movement of the body were unsatisfactory because it was surface (skin) rather than bone motion that was recorded — especially in relation to the segments of the spine. The use of plain X-rays was also unsatisfactory because only the beginning and end of the motion could be recorded without giving a prohibitive radiation dose. Attempts involving cineradiography and videofluoroscopy allowed the whole range of motion to be seen on film or videotape, but not measured. Furthermore, marking a sufficient number of the images in a motion sequence manually, in pursuit of such measurement, was too laborious to support a method for use in clinical settings. See, for example, US 5,090,042. Additionally, voluntary motion of joints adds the confounding factor of the stabilising influence of the muscles, concealing any abnormality of the joint ligaments or other passive elements, notably the intervertebral discs.

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There is, therefore, a need for a system that provides a means for producing real-time image generation of the motion of the bones in a subject than can objectively measure the functional integrity of joint tissues with the minimum of invasiveness.

The present invention makes it immediately possible to use x-ray intensifier technology, or its successors (e.g. real-time magnetic resonance or other imaging), to carry out these procedures by objectively measuring the functional integrity of joint tissues with a minimum of invasiveness. Its immediate application is to the detection of failed spinal fusions, avoiding the necessity for a second operation to inspect the integrity of the original graft.

According to a first aspect of the invention, there is provided apparatus for the measurement of skeletal joint motion in a subject comprising:

- (a) a passive motion device which comprises a horizontal platform base and a horizontal passive motion platform composed of a horizontal static platform which is rigidly connected to the upper lateral surface of the platform base and a horizontal laterally movable platform which is flexibly connected to the static platform or to the upper surface of the platform base, in which the static platform is adjacent to the laterally movable platform which together both form the passive motion platform, in which the movement of the laterally movable platform is driven by a motor attached to the platform base where movement of the laterally movable platform is achieved by means of a control arm that operably connects the laterally movable moveable platform to the motor;
 - (b) an imaging device;
- (c) a processing system which comprises a computer incorporating a means for real time digital sampling of images of the moving joints, means for recording time code and data from the passive motion platform; means for storage of these images at high resolution; means for recognising templates

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attributed to individual bones and tracking these automatically using cross-correlation functions; and means for geometric transformation of the positional data to graphically display their relative motion over time

The apparatus for the measurement of skeletal joint motion allows for the accurate measurement of movement in skeletal structures through the operation of the passive motion device which permits the joints to be moved at a controlled rate within patient tolerances and through a range appropriate for measurement using the means contained within the processing system.

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The horizontal platform base may be a table or similar construction to permit stable operation of the device. Suitable tables include tables used for X-ray purposes or imaging purposes in a medical environment.

The passive motion platform is composed of a horizontal static platform and a horizontal laterally movable platform. The static platform can be securely fixed to the platform base through its lower lateral surface. The static platform co-operates with the laterally movable platform so as to provide a horizontal surface on which a patient

for observation may be positioned.

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The laterally movable platform may be flexibly connected to the static platform or to the upper surface of the platform base so as to permit movement of the movable platform in a horizontal plane. The moveable platform or swing platform may be moved through the action of a motor attached to the platform base. The movement of the movable platform may describe an arc sufficient to cause movement of the body of the patient to be observed. In some embodiments of the invention, the laterally movable platform may be superposed or placed on a support which lies on the upper surface of the platform base. Such a support may assist in stabilising the motion of the movable platform in use. Since the rotation of the laterally movable platform may be rotation around a fixed point, the support may take the form of an arc (or circular segment), may be a protractor.

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The movement of the laterally movable platform is controlled by a motor attached to the platform base that acts through a control arm. The motor can be hand-operated or powered by electricity. The control arm may be composed of a drive cylinder and a drive rod. Preferably, the drive cylinder has a means for setting the range of movement of the movable platform.

The imaging device is preferably an X-ray tube and image intensifier with dosage control or a magnetic resonance scanner capable of real-time imaging of the joint being examined or any other imaging device capable of providing adequately resolved images.

The processing system as defined above comprises a computer incorporating means for recording and analysing data. Such means for real time digital sampling of images of the moving joints may be image processing software capable of manipulating sequential images, for example "ImagePro". As an alternative to sampling analogue output form images, the direct sampling of digital format images may also be preferred. Data obtained from the intensifier in a digital format can be accessed by DICOM. The means for recording time code and data from the passive motion platform may be a framegrabber card compatible with the computer image processing software and a time code generator connected to the computer peripherally (for example a FOR.A TGR2000). The images generated may be stored at high resolution on the hard drive of the computer or on a suitable data carrier, for example a compact disc.

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The means for recognising templates attributed to individual bones and tracking these automatically using cross-correlation functions may be software for complex mathematical transformations, for example "Matlab".

The means for geometric transformation of the positional data to graphically display their relative motion over time may be a statistical spreadsheet software program such as Microsoft "Excel".

In general the computer hardware and image analysis software will have sufficient online memory, bit depth and processor speed to sample, affix time code and hold multiple high quality images; sufficient digital storage to retain and replay image sequences; triggering and control linkages to the passive motion and imaging devices; image calibration to correct systematic image distortion; tracking code and algorithms suitable for registering the relative positions of a template placed around a number of adjacent bone images in series' throughout motion sequences; linkage of these outputs to graphical and statistical programs.

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The passive motion platform for lower spinal examinations consists of two flat linked surfaces, the static platform and the laterally movable platform, made of radiolucent material on which the subject lies. This is driven by a motorised arm linked to the computer acquisition system during imaging. The tracking of individual bone images accessed directly from an intensifier or other digital imaging output uses much of all the image data for each bone using algorithms based on picture element correlations that can be improved upon by the amount of data available as pixel depth and density. This level of control over image quality is not possible by videofluoroscopic methods, because of the degradation of images caused by using videotape as a storage intermediary. The problems of image degradation by any metallic implants or other artefacts is resolved by using templates to define suitable areas of bone image for tracking and the automated data outputs can be averaged to achieve greater reliability. These are fundamental advances on current surface or imaging methods that do not acquire sufficient data, with sufficient speed or with a sufficient degree of automation and to not measure the responses of the passive holding elements to motion.

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It is a central object of the invention to provide an automated system through which the relative motion of images of skeletal structures can be measured *in vivo*. These range from spinal to limb girdle joints and are suited particularly to the discrimination of movement in joints that have been the subject of surgical fusion. A wider application is, however, the ability of the invention to reveal the motion characteristics of non-fused joints. It is intended that the invention allow moving

images of bones to be acquired within a viewing field which addresses an area of interest selected for relative motion assessment with minimal invasiveness over a period of under one minute (if X-rays are used). In the case of X-ray generated images, this incorporates methods for gonadal shielding, filtration and intensifier flare reduction as well as patient stabilisation, procedure rehearsal and ability to stop the procedure if desired. Real-time digital acquisition with superimposed time code and storage of the images for playback and subsequent automated motion analysis is implicit in the invention. The invention outputs numerical or graphical data depicting the relative motion of adjacent bones. These data can be statistically analysed for repeatability and automatically re-calculated and averaged as a means of error reduction. They can also be transformed to display different indices of the motion (e.g. angular change, translational change, or axis of rotation). It is intended that the outputs take the form graphical displays of the motion features of interest for the attention of clinicians.

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The apparatus of the invention can be used to measure movement in the skeletal joints of a subject. The subject may be any animal having an internal bony skeleton, preferably an animal with is a mammal. The invention may find greatest utility in the fields of human and veterinary medicine. In veterinary medicine, the method may find use in the treatment of domestic pets as well as to agricultural or zoological animals.

The skeletal joints that can be measured include, but are not limited to the intervertebral linkages of the cervical (neck), thoracic (upper back) and lumbar (lower back) spines

According to a second aspect of the invention, there is provided a method for the automated measurement of the relative motion of skeletal structures in vivo, comprising the steps of:

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(i) positioning the subject on a passive motion device as defined in accordance with the first aspect of the invention.;

- (ii) initiating the imaging procedure of the subject positioned on the passive motion device and collecting image data using an imaging device;
- (iii) sampling the data collected by the imaging device into the processing system and superimposing time code on the images;
- (iv) tracking templates marked on individual bone segments at the start of the motion sequence;
- (v) transforming the results of tracking to reflect the changing spatial relationship between image segments; and
- 10 (vi) presenting the output in graphical form.

This aspect of the invention therefore provides methods for acquiring images and analysing the motion of adjacent skeletal structures.

- In a preferred embodiment of this aspect of the invention, a calibration step is carried out prior to the method described above. Prior to imaging the skeletal structure, calibration of the computer is achieved by imaging objects of known dimensions in order to allow for any geometric distortion inherent in the imaging device.
- Simple adaptation make the method suitable for use with other joints, including those of the cervical spine (neck).

Methods in accordance with this aspect of the invention can be applied to any joint, safely, reliably and comprehensively, using any imaging system capable of real-time image generation. Such methods can be operated by a radiographer without specific medical training. The methods involve stabilising two adjacent body segments in a mechanical device that moves a joint passively, while briefly imaging this motion in real time. The motion of adjacent bones is tracked by applying digital image processing algorithms to their image sequences and outputting the relative motion data graphically for the inspection of clinicians. Any systematic distortion within the device can be calibrated for and subjected to corrective transformation as a part of processing.

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Preferred features for the second and subsequent aspect of the invention are as for the first aspect *mutates mutandis*.

An apparatus for the measurement of skeletal joint motion in a subject in accordance with the present invention is described in Figures 1(a) and 1(b). An apparatus is shown which comprises a passive motion device (1) having a horizontal platform base (23) and a horizontal passive motion platform (25). The horizontal passive motion platform (25) is situated on the horizontal platform base (23). The horizontal passive motion platform (25) is composed of a horizontal static platform (7) which is rigidly connected to the upper lateral surface of the platform base and a horizontal laterally movable platform (5) which is flexibly connected to the static platform or to the upper surface of the platform base, in which the static platform is adjacent to the laterally movable platform which together both form the passive motion platform, in which the movement of the laterally movable platform is driven by a motor (9) attached to the platform base where movement of the laterally movable platform is achieved by means of a control arm (11,13), composed of drive (13) and drive cylinder (11) that operably connects the laterally movable moveable platform to the motor. An imaging device (22, 21) is positioned around the device (1) such that movement of the skeletal joint in the subject can be imaged. The imaging device is suitably an X-ray tube (22) and an image intensifier (21). The device (1) has a protractor base (3) underneath the laterally movable platform (5) which is also provided with a runner (27). The device (1) also contains linkages to a patient control or panic button (15), radiographer control panel (17) which may be an X-ray console where the imaging device is an Xray tube, and a computer and time code generator (19).

In use, the device comprises of a static platform and a swing platform. The latter is sited atop a second static platform which also serves as a protractor to indicate the arc of motion which it describes and over whose centre is to be sited the joint of interest. The swing platform articulates with the static platform as a two-dimensional ball-and-socket joint and runs over the static protractor section on low friction wheels

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(runners). Both the static and the swing platforms are made of radiolucent material and have extensions on which to attach a drive mechanism.

The drive mechanism consists of an electric motor which drives a rod in and out of a cylinder in order to move the swing portion of the table relative to the static platform. This is controlled by a computer chip through which the rate and range of motion can be pre-set and is linked to an ammeter by which the resistance to the motion can be measured.

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The motor is operated by a radiographer or assistant with a patient override switch. The motor is also connected to a time code generator such that initiating movement of the platform trips the registration of time code on the images acquired.

The central X-ray beam passes from the X-ray tube to the image intensifier through the joint level of interest during the motion of the swing platform. As the motion progresses the area of interest is kept in the central X-ray beam by the radiographer, and appropriate gonadal shielding is applied.

The conventional path of motion is from the neutral position to full range in one direction, to full range in the opposite direction and back to neutral.

The invention will now be further described by way of reference to the following Examples and Figures which are provided for the purposes of illustration only and are not to be construed as being limiting on the invention. Reference is made to a number of Figures in which:

FIGURE 1(a) shows the passive motion platform in its top elevation where it is sited atop an X-ray table.

FIGURE 1(b) shows the passive motion in its side elevation as would be viewed from the X-ray console with a patient undergoing the imaging of vertebral joint motion in the lower spine in the sagittal plane. (Turning the

patient to the supine position would allow side-bending, or coronal plane, motion.)

FIGURE 2(a) shows the three linked components of the system, being the passive motion platform, the X-ray machine or other imaging device and the computer acquisition and analysis system

FIGURE 2b shows an X-ray image of a vertebra with implanted metal screws and rods and with the outline of a template which denotes the areas of bony image enclosed within the template for automatic tracking.

FIGURE 3 shows a line graph in which in which is shown the results of tracking the angular motion of one intervertebral linkage (2 consecutive vertebrae) through a full side-bending range. The x-axis denotes the number of increments of motion between images registered by the tracking system. The y-axis denotes the magnitude of the angles between the one pair of vertebrae in side-bending (coronal plane motion) with, by convention, left side-bending being the positive direction and right side-bending the negative.

20 Examples

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Example 1: Imaging of a patient with intractable spinal pain Acquisition:

Typically, a patient with chronic intractable spinal pain will be referred for the investigation. The patient will normally attend the X-ray department as an outpatient and will enter an imaging suite under the direction of a radiographer and an assistant. All components of the device, which is portable, will be in place when the patient enters.

The patient will be familiarised with the action of the passive motion platform by demonstration and then will be helped to lie on it in the prone or supine position. The swing platform will be moved and the patient's acceptance of the motion determined.

The range of motion achievable will be decided by discussion and tested without imaging to ensure it is well tolerated. Devices for gonadal protection and the reduction of any intensifier flare will then be placed on the passive motion platform.

The radiographer will centre the level of interest and configure the imaging parameters for the exposure. The assistant will prepare the acquisition system to sample digital images in real time and imprint time code on them.

On a countdown the imaging and digital sampling will begin. The swing section of the passive motion platform will then describe the full range of the motion previously rehearsed with the patient. If the patient wishes to stop the motion, they will press a hand-held control which will return the position to neutral. The acquisition time is normally under 30 seconds.

After the image sequence has been acquired, the patient may be imaged in another plane. If so the same procedure will be followed. At the end of the imaging session the patient is helped from the passive motion platform and leaves.

Analysis:

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After labelling the image file with the patient's details the radiographer views the series for quality. The radiographer then uses the first image in the series to mark templates around the bony segments of interest. The tracking of images is done automatically using cross-correlation codes and the results held on a spreadsheet as angular or translational motion data, or a transformation thereof.

The tracking process is repeated with new templates to determine the repeatability of measurement. High quality images with high repeatability will undergo fewer tracking sequences than lower quality ones. The data from the latter may be averaged over several trackings to achieve reliable results.

The results are graphically displayed for the use of the referring clinician as shown in Figure 3.

CLAIMS

1. Apparatus for the measurement of skeletal joint motion in a subject comprising:

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(a) a passive motion device which comprises a horizontal platform base and a horizontal passive motion platform composed of a horizontal static platform which is rigidly connected to the upper lateral surface of the platform base and a horizontal laterally movable platform which is flexibly connected to the static platform or to the upper surface of the platform base, in which the static platform is adjacent to the laterally movable platform which together both form the passive motion platform, in which the movement of the laterally movable platform is driven by a motor attached to the platform base where movement of the laterally movable platform is achieved by means of a control arm that operably connects the laterally moveable platform to the motor;

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(b) an imaging device;

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(c) a processing system which comprises a computer incorporating a means for real time digital sampling of images of the moving joints, means for recording time code and data from the passive motion platform; means for storage of these images at high resolution; means for recognising templates attributed to individual bones and tracking these automatically using cross-correlation functions; and means for geometric transformation of the positional data to graphically display their relative motion over time

- 2. Apparatus as claimed in claim 1, in which the laterally movable platform is situated on a support which lies on the upper surface of the platform base.
- 3. Apparatus as claimed in claim 1 or in claim 2, in which the imaging device is an X-ray tube and image intensifier with dosage control.

- 4. Apparatus as claimed in claim 1 or in claim 2, in which the imaging device is a magnetic resonance scanner.
- 5. A method for the automated measurement of the relative motion of skeletal structures in vivo, comprising the steps of:
 - (i) positioning the subject on a passive motion device as defined in any of claims 1 to 4;
 - (ii) initiating the imaging procedure of the subject positioned on the passive motion device and collecting image data using an imaging device;
 - (iii) sampling the data collected by the imaging device into the processing system and superimposing time code on the images;
 - (iv) tracking templates marked on individual bone segments at the start of the motion sequence;
 - (v) transforming the results of tracking to reflect the changing spatial relationship between image segments; and
 - (vi) presenting the output in graphical form.
- 20 6. A method according to claim 5, in which a calibration step is carried out prior to the method steps (i) to (vi).

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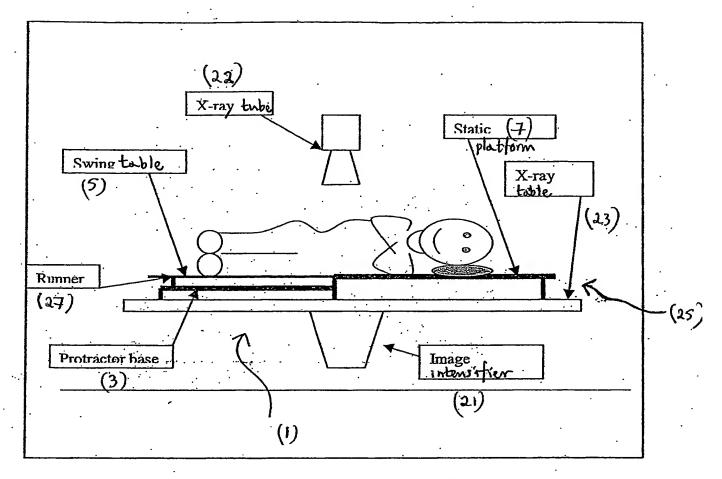


FIGURE 1b Passive Motion Platform - Side Elevation

Figure 2a. Linked components of the system

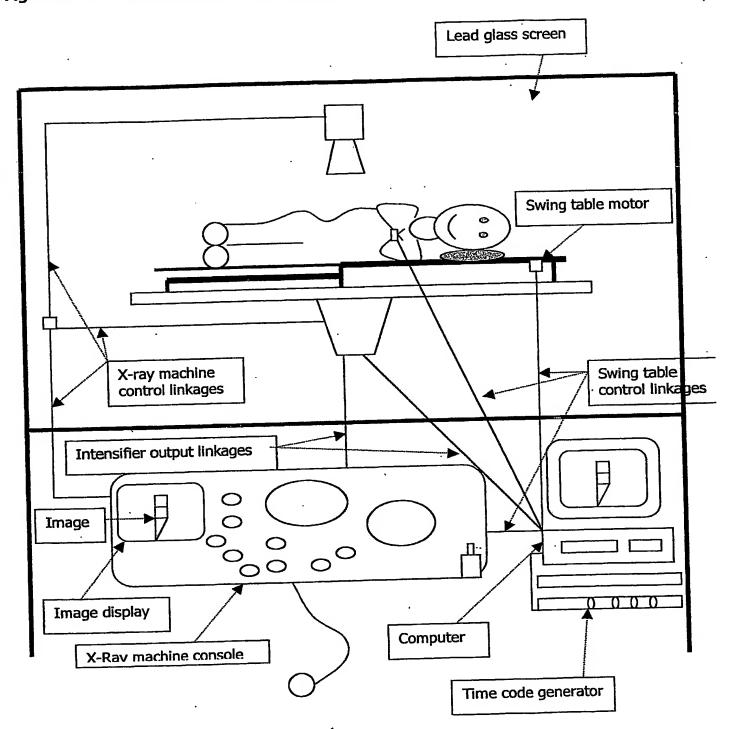


Figure 2b. X-ray image of a vertebra with implanted surgical screws and rods with outline of templates denoting areas of bone image enclosed for automatic tracking.

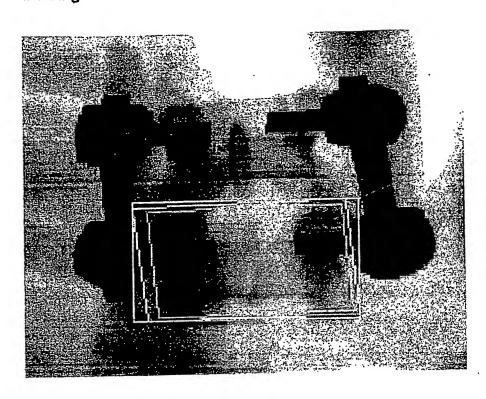
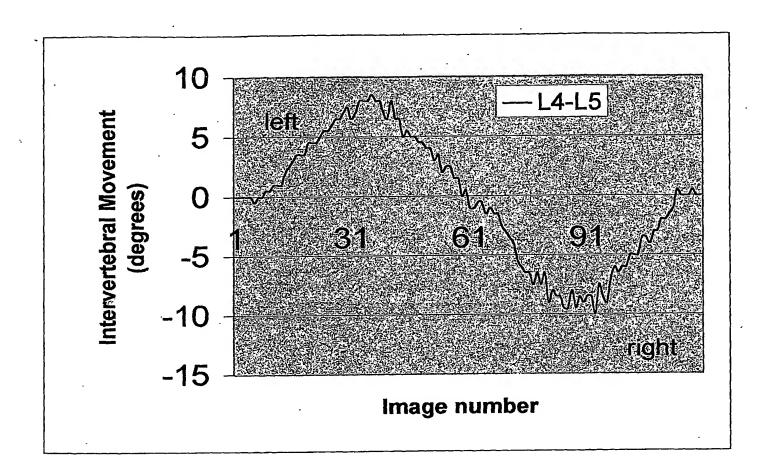


FIGURE 3. Example of automated tracking results: An automated registration of one intervertebral joint through a full sidebending range.



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